

Substitute for form 1449A/PTO					
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/799,975
				Filing Date	March 12, 2004
				First Named Inventor	Berkman, William
				Art Unit	2821
				Examiner Name	Le, Hoanganh
Sheet	1	of	2	Attorney Docket No: CRNT-0208	

US PATENT DOCUMENTS				
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
H. L.	1.	US-2002/0186699	12/12/2002	Kwok, Timothy C.
	2.	US-2003/0052770	03/20/2003	Mansfield, Jr., Amos et al.
	3.	US-2003/0062990A1	04/03/2003	Schaeffer, Jr. et al.
	4.	US-2003/0071719	04/17/2003	Crenshaw, Ralph E., et al.
	5.	US-2003/0103307A1	06/05/2003	Dostert
	6.	US-2003/0106067	06/05/2003	Hoskins, Steve et al.
	7.	US-2004/0178888	09/16/2004	Hales, Jeffrey et al.
	8.	US-2004/0196144A1	10/07/2004	Crenshaw et al.
	9.	US-2004/0227623	11/18/2004	Pozsgay, Andrew
	10.	US-2004/0233928A1	11/25/2004	Pozsgay
	11.	US-2005/0046550A1	03/03/2005	Crenshaw et al.
	12.	US-2006/0017324	01/26/2006	Pace, Timothy et al.
	13.	US-2006/0034330	02/16/2006	Iwamura, Ryuichi
	14.	US-2006/0038660	02/23/2006	Doumuki, Tohru et al.
	15.	US-2006/0049693	03/09/2006	Abraham, Charles et al.
	16.	US-2006/0072695	04/06/2006	Iwamura, Ryuichi
	17.	US-2006/0073805	04/06/2006	Zumkeller, Markus et al.
	18.	US-3369078	02/13/1968	Stradley
	19.	US-3810096	05/07/1974	Kabat et al.
	20.	US-3964048	06/15/1976	Lusk et al.
	21.	US-4057793	11/08/1977	Johnson et al.
	22.	US-4060735	11/29/1977	Pascucci et al.
	23.	US-4239940	12/16/1980	Dorfman
	24.	US-5066939	11/19/1991	Mansfield, Jr.
	25.	US-5257006	10/26/1993	Graham et al.
	26.	US-5319634	06/07/1994	Bartholomew et al.
	27.	US-5398037	03/14/1995	Engheta, Nader et al.
	28.	US-6151480	11/21/2000	Fischer et al.
	29.	US-6304231	10/16/2001	Reed, Eric B., et al.
	30.	US-6373377	04/14/2002	Sacca, Frank et al.
	31.	US-6417762B1	07/09/2002	Comer
	32.	US-6563465	05/13/2003	Frecska, Sandor A.
	33.	US-6771775	08/03/2004	Widmer, Hanspeter
	34.	US-6842459	01/11/2005	Binder, Yehuda
H. L.	35.	US-6952159	10/04/2005	Muller, Kurt

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H. L.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Complete If Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/799,975</td> </tr> <tr> <td>Filing Date</td> <td>March 12, 2004</td> </tr> <tr> <td>First Named Inventor</td> <td>Berkman, William</td> </tr> <tr> <td>Art Unit</td> <td>2821</td> </tr> <tr> <td>Examiner Name</td> <td>Le, Hoanganh</td> </tr> </table>		Application Number	10/799,975	Filing Date	March 12, 2004	First Named Inventor	Berkman, William	Art Unit	2821	Examiner Name	Le, Hoanganh
Application Number	10/799,975														
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Examiner Name	Le, Hoanganh														
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US PATENT DOCUMENTS				
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document
H L	36.	US-6956464	10/18/2005	Wang, Cheng et al.
H L	37.	US-6975212	12/13/2005	Crenshaw, Ralph et al.

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
H L	38.	"Centralized Commercial Building Applications with the Lonworks ® PLT-21 Power Line Transceiver", <u>Lonworks Engineering Bulletin, Echelon</u> , (Apr 1997), 1-22	
H L	39.	"Demand Side Management with LONWORKS® Power Line Transceivers", <u>LONWORKS Engineering Bulletin</u> , (Dec 1996), 1-36	

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**Form PTO-1449 Modified**List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)U.S. Department of Commerce  
Patent and Trademark OfficeDocket No.  
**CRNT-0208**Serial No.  
**10/799,975**Applicant  
**William H. Berkman**Filing Date  
**March 12, 2004**Group  
**2821**Confirmation No.  
**9020****U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
H. L.	1	1,547,242	07/28/25	M. E. Strieby		
	2	2,298,435	10/13/42	Tunick	250	15
	3	2,577,731	12/11/51	F.C. Berger	179	2.5
	4	3,369,078	02/13/68	Stradley	179	2.5
	5	3,445,814	05/20/69	Spalti	340	151
	6	3,605,009	09/14/71	Enge	323	93
	7	3,641,536	02/08/72	Prosprich	340	870.15
	8	3,656,112	04/11/72	Paull	340	151
	9	3,696,383	10/03/72	Oishi et al.	340	310
	10	3,702,460	11/07/72	Blose	340	150
	11	3,810,096	05/07/74	Kabat et al.	340	147 R
	12	3,846,638	11/05/74	Wetherell	307	3
	13	3,895,370	07/15/75	Valentini	340	310
	14	3,911,415	10/07/75	Whyte	340	310
	15	3,942,168	03/02/76	Whyte	340	310.01
	16	3,942,170	03/02/76	Whyte	340	310
	17	3,962,547	06/08/76	Pattantyus-Abraham	179	2.5 R
	18	3,964,048	06/15/76	Lusk et al.	340	310 R
	19	3,967,264	06/29/76	Whyte et al.	340	310.08
	20	3,973,087	08/03/76	Fong	179	170 R
	21	3,973,240	08/03/76	Fong	340	151
H. L.	22	3/993,110	01/18/77	Whyte	179	170 J

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	Applicant <b>William H. Berkman</b>	
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		Confirmation No. <b>9020</b>

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Examiner Initial		Document No.	Date	Name	Class	Subclass
H. L.	23	4,004,110	01/18/77	Whyte	179	170 J
	24	4,012,733	03/15/77	Whyte	340	310
	25	4,016,429	04/05/77	Vercellotti et al.	307	149
	26	4,053,876	10/11/77	Taylor	340	529
	27	4,057,793	11/08/77	Johnson et al.	340	310 R
	28	4,060,735	11/29/77	Pascucci et al.	307	3
	29	4,070,572	01/24/78	Summerhayes	250	199
	30	4,119,948	10/10/78	Ward et al.	340	870.02
	31	4,142,178	02/27/79	Whyte et al.	340	310
	32	4,188,619	02/12/80	Perkins	340	310 R
	33	4,239,940	12/16/80	Dorfman	179	2.51
	34	4,250,489	02/10/81	Dudash et al.	340	147 T
	35	4,254,402	03/03/81	Perkins	340	310 R
	36	4,263,549	04/21/81	Toppeto	324	127
	37	4,268,818	05/19/81	Davis et al.	340	870.38
	38	4,323,882	04/06/82	Gajjer	340	310 R
	39	4,357,598	11/02/82	Melvin, Jr.	340	310 A
	40	4,359,644	11/16/82	Foord	307	40
	41	4,367,522	01/04/63	Forstbauer et al.	363	137
	42	4,383,243	05/10/83	Krügel et al.	340	310 R
	43	4,386,436	5/1983	Kocher et al.	455	151.4
H. L.	44	4,408,186	10/04/83	Howell	340	310 A

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Examiner Initial		Document No.	Date	Name	Class	Subclass
H. L.	45	4,409,542	10/11/83	Becker et al.	324	57 Q
	46	4,413,250	11/01/83	Porter et al.	340	310.01
	47	4,419,621	12/06/83	Becker et al.	324	51
	48	4,433,284	02/21/84	Perkins	323	361
	49	4,442,492	04/10/84	Karlsson et al.	364	464
	50	4,457,014	06/26/84	Bloy	381	98
	51	4,468,792	08/28/84	Baker et al.	375	45
	52	4,471,399	09/11/84	Udren	361	64
	53	4,473,816	09/25/84	Perkins	340	310
	54	4,473,817	09/25/84	Perkins	340	310
	55	4,475,209	10/02/84	Udren	375	4
	56	4,479,033	10/23/84	Brown et al.	179	2.51
	57	4,481,501	11/06/84	Perkins	340	310
	58	4,495,386	01/22/85	Brown et al.	455	402
	59	4,517,548	05/14/85	Ise et al.	340	310 R
	60	4,569,045	02/04/86	Schieble et al.	370	85
	61	4,599,598	07/08/86	Komoda et al.	340	310 A
	62	4,642,607	02/10/87	Strom et al.	340	310
	63	4,644,321	02/17/87	Kennon	340	310 A
	64	4,652,855	03/1987	Weikel	340	310
	65	4,675,648	06/23/87	Roth et al.	340	310.07
H. L.	66	4,683,450	07/28/87	Max et al.	333	202

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		Confirmation No. <b>9020</b>

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H. L.	67	4,686,382	08/11/87	Shuey	307	149
	68	4,686,641	08/11/87	Evans	364	580
	69	4,697,166	09/29/87	Warnagiris et al.	340	310 R
	70	4,701,945	10/20/87	Pedigo	379	66
	71	4,724,381	02/09/88	Crimmins	324	127
	72	4,745,391	05/17/88	Gajjar	340	310 A
	73	4,746,897	05/24/88	Shuey	340	310 R
	74	4,749,992	06/07/88	Fitzmeyer et al.	340	870.02
	75	B1 4,749,992	06/11/96	Fitzmeyer et al.	340	870.02
	76	4,766,414	08/23/88	Shuey	340	310 A
	77	4,772,870	09/20/88	Reyes	340	310 R
	78	4,785,195	11/15/88	Rochelle et al.	307	18
	79	4,800,363	01/24/89	Braun et al.	340	310 A
	80	4,835,517	05/30/89	van der Gracht et al.	340	310 A
	81	4,903,006	02/02/90	Boomgaard	340	310 A
	82	4,904,996	02/27/90	Fernandes	340	870.07
	83	4,912,553	03/27/90	Pal et al.	358	86
	84	4,973,940	11/27/90	Sakai et al.	340	310 R
	85	4,979,183	12/18/90	Cowart	375	142
	86	5,006,846	04/09/91	Granville et al.	340	870.28
	87	5,066,939	11/19/91	Mansfield, Jr.	340	310 R
H. L.	88	5,068,890	11/26/91	Nilssen	379	90

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Examiner Initial		Document No.	Date	Name	Class	Subclass
<i>H. E.</i>	89	5,148,144	09/15/92	Sutterlin et al.	340	310 A
	90	5,151,838	09/29/92	Dockery	340	310 R
	91	5,185,591	02/09/93	Shuey	340	310 A
	92	5,191,467	03/02/93	Kapany et al.	359	341
	93	5,210,519	05/11/93	Moore	340	310.
	94	5,257,006	10/26/93	Graham et al.	340	310A
	95	5,301,208	04/05/94	Rhodes	375	36
	96	5,319,634	06/07/94	Bartholomew et al.	370	18
	97	5,351,272	09/27/94	Abraham	375	38
	98	5,359,625	10/25/94	Vander Mey et al.	375	1
	99	5,369,356	11/29/94	Kinney et al.	324	142
	100	5,375,141	10/20/94	Takahashi	375	1
	101	5,406,249	04/11/95	Pettus	340	310.06
	102	5,410,720	04/25/95	Osterman	725	150
	103	5,426,360	06/20/95	Maraio et al.	324	126
	104	5,432,841	07/11/95	Rimer	455	457
	105	5,448,229	09/05/95	Lee, Jr.	340	870.02
	106	5,461,629	10/24/95	Sutterlin et al.	371	30
	107	5,477,091	12/19/95	Fiorina et al.	307	66
	108	5,485,040	01/16/96	Sutterlin	307	3
	109	5,497,142	03/05/96	Chaffanjon	340	310.06
<i>H. E.</i>	110	5,498,956	03/12/96	Kinney et al.	324	142

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*H. E.*

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H. L.	111	5,533,054	07/02/96	DeAndrea et al.	375	286
	112	5,559,377	09/24/96	Abraham	307	104
	113	5,579,221	11/26/96	Mun	364	188
	114	5,579,335	11/26/96	Sutterlin et al.	375	200
	115	5,592,482	01/07/97	Abraham	348	8
	116	5,598,406	01/28/97	Albrecht et al.	370	296
	117	5,616,969	04/01/97	Morava	307	91
	118	5,625,863	04/29/97	Abraham	455	3.3
	119	5,630,204	05/13/97	Hylton et al.	455	3.3
	120	5,640,416	06/17/97	Chalmers	375	147
	121	5,664,002	09/02/97	Skinner, Sr.	379	56.2
	122	5,684,450	11/04/97	Brown	340	310.02
	123	5,691,691	11/25/97	Merwin et al.	340	310.02
	124	5,694,108	12/02/97	Shuey	340	310.01
	125	5,705,974	01/06/98	Patel et al.	340	310.08
	126	5,712,614	01/27/98	Patel et al.	340	310.03
	127	5,717,685	02/10/98	Abraham	370	30
	128	5,726,980	03/10/98	Rickard	370	293
	129	5,748,671	05/05/98	Sutterlin et al.	375	206
	130	5,770,996	06/23/98	Severson et al.	340	310.08
	131	5,774,526	06/30/98	Propp et al.	379	90.1
H. L.	132	5,777,544	07/07/98	Vander Mey et al.	340	310.06

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Examiner Initial		Document No.	Date	Name	Class	Subclass
H. L.	133	5,777,545	07/07/98	Patel et al.	341	310.06
	134	5,777,769	07/07/98	Coutinho	359	173
	135	5,778,116	07/07/98	Tomich	385	16
	136	5,796,607	08/18/98	Le Van Suu	364	140.01
	137	5,802,102	09/01/98	Davidovici	375	152
	138	5,805,053	09/08/98	Patel et al.	340	310.01
	139	5,818,127	10/06/98	Abraham	307	106
	140	5,818,821	10/06/98	Schurig	370	293
	141	5,828,293	10/27/98	Rickard	340	310.04
	142	5,835,005	11/10/98	Furukawa et al.	340	310.01
	143	5,847,447	12/08/98	Rozin et al.	257	678
	144	5,856,776	01/05/99	Armstrong et al.	340	310.01
	145	5,864,284	01/26/99	Sanderson et al.	340	310.01
	146	5,870,016	02/1999	Shresthe	340	310.01
	147	5,880,677	03/09/99	Lestician	340	825.06
	148	5,881,098	03/09/99	Tzou	375	152
	149	5,892,430	04/06/99	Wiesman et al.	340	310.01
	150	5,929,750	07/27/99	Brown	340	310.02
	151	5,933,071	08/03/99	Brown	340	310.01
	152	5,933,073	08/03/99	Shuey	340	310.07
	153	5,937,003	08/10/99	Sutterlin et al.	375	208
H. L.	154	5,937,342	08/10/99	Kline	455	402

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H. L.	155	5,949,327	09/07/99	Brown	340	310.01
H. L.	156	5,952,914	09/14/99	Wynn	340	310.01
H. L.	157	5,963,585	10/05/99	Omura et al.	375	207
H. L.	158	5,977,650	11/02/99	Rickard et al.	307	3
H. L.	159	5,978,371	11/02/99	Mason, Jr. et al.	370	389
H. L.	160	5,982,276	11/09/99	Stewart	340	310.01
H. L.	161	5,994,998	11/30/99	Fisher et al.	340	310.01
H. L.	162	5,994,999	11/30/99	Ebersohl	340	310.01
H. L.	163	6,014,386	01/11/00	Abraham	370	485
H. L.	164	6,023,106	02/08/00	Abraham	307	3
H. L.	165	6,037,678	03/14/00	Rickard	307	89
H. L.	166	6,037,857	03/14/00	Behrens et al.	340	310.03
H. L.	167	6,040,759	03/21/00	Sanderson	340	310.01
H. L.	168	6,091,932	07/18/00	Langlais	455	5.1
H. L.	169	6,104,707	08/15/00	Abraham	370	295
H. L.	170	6,140,911	10/2000	Fisher et al.	340	310.01
H. L.	171	6,141,634	10/31/00	Flint et al.	703	018
H. L.	172	6,144,292	11/07/00	Brown	340	310.02
H. L.	173	6,151,330	11/21/00	Liberman	370	449
H. L.	174	6,157,292	12/05/00	Piercy et al.	340	310.01
H. L.	175	6,172,597 B1	01/09/01	Brown	340	310.02
H. L.	176	6,177,849 B1	01/23/01	Barsellotti et al.	333	177

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	Applicant <b>William H. Berkman</b>	
	Filing Date <b>March 12, 2004</b>	Group <b>2821</b>
		Confirmation No. <b>9020</b>

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	180	6,239,722 B1	05/29/01	Colton et al.	340	870.02
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H. C.	220	2002/0071452 A1	06/13/02	Abraham	370	480

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	257	S.N. 09/924,730	08/08/01	Kline	-----	-----
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H. L.	265	S. N. 10/293,799	11/13/02	Huebner	_____	_____	
	266	S.N. 10/292,745	11/12/02	Cope et al.	_____	_____	
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	274	S.N. 10/626,308	07/23/03	Berkman et al.	_____	_____	
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H. L.	276	S.N. 10/675,409	09/30/03	Mollenkopf	_____	_____	

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					YES	NO	
H. K.	277	100 08 602 A1	06/07/01	DE	X abstract & Ind. Claim		
	278	100 12 235 C2	12/20/01	DE	X abstract & Ind. Claim		
	279	100 26 930 C2	01/30/03	DE	X abstract & Ind. Claim		
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	291	101 47 915 C1	06/23/03	DE	X abstract & claims		
	292	101 47 916 C1	05/28/03	DE	X abstract & claims		
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	294	101 190 039 A1	12/05/02	DE	X abstract & Ind. Claim		
H. K.	295	101 190 040 A1	12/05/02	DE	X abstract & Ind. Claim		
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	298	0 470 185 B1	11/29/95	EP			
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	300	0 913 955 A2	05/06/99	EP	X abstract		
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	304	1 011 235 A2	6/21/00	EP			
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	306	1 014 640 A2	06/28/00	EP			
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	309	0 822 721 A2, A3	02/04/98	EP	X abstract & Ind. Claim		
	310	0 933 883 A2, A3	08/04/99	EP	X abstract & Ind. Claim		
	311	1 043 866 A2, A3	10/11/00	EP	X abstract & Ind. Claim		
	312	1 075 091 A1	02/07/01	EP	X abstract & Ind. Claim		
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					YES	NO	
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	317	2 122 920 A1	12/16/98	ES	X abstract		
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	319	1 548 652	07/18/79	GB			
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	335	98/01905 A1	01/15/98	WO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
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	337	98/33258 A3	07/30/98	WO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	338	98/40980 A1	09/17/98	WO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	339	00/59076 A1	10/05/00	WO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	340	00/60701 A1	10/12/00	WO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
	341	01/08321 A1	02/01/01	WO	X abstract & Ind. Claim		
	342	01/43305 A1	06/14/01	WO	X abstract		
	343	01/82497 A1	11/01/01	WO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	
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	346	00/16496 A2	03/23/00	WO	X abstract & Claims		
	347	00/60822 A1	10/12/00	WO	X abstract & Claims		
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	350	01/50628 A1	07/12/01	WO	X abstract & Ind. Claim		
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H. L.	361	2004/021600A1	03/11/04	WO			

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